

In general: consider reaction  $1+2 \rightarrow 3+4$  in a plasma

Nuclei of type **1** and type **2** are destroyed via the nuclear reaction  $1+2$  and nuclei of type **3** and type **4** **produced**.

The reaction rate is determined by:

- Particle density (number of particles/volume)
- Kinetic energy/ relative velocity of reaction partners
- Reaction cross section which depends on energy/velocity in CM system

$N_i$  = density of interacting particles [number/volume]= [number/ m<sup>3</sup>]

$v$  = relative velocity [m/s]

$\phi(v)$  = velocity distribution in plasma

$\sigma(v)$  = reaction cross section [m<sup>2</sup>]

reaction rate depends on two factors :

(i) Effective area, which contributes to reaction rate, is proportional

$$\sigma(v) \cdot N_1$$

(ii) particle flux

$$v \cdot N_2$$

reaction rate per volume is proportional [1/(time · volume) units 1/[s · m<sup>3</sup>]]

$$r = N_1 N_2 \sigma(v) v$$

velocity is given with a certain probability distribution :

$$\int_0^{\infty} \Phi(v) dv = 1$$

reaction rate per particle pair and volume

$$\langle \sigma v \rangle = \int_0^{\infty} \Phi(v) v \sigma(v) dv$$

for exothermal reaction; integration starts from  $v = 0$  until  $v = \infty$

for endothermal reaction; integration starts from  $v \propto Q^{1/2}$  until  $v = \infty$

totale reaction rate

$$r = \frac{1}{1 + \delta_{12}} N_1 N_2 \langle \sigma v \rangle$$

Kronecker delta applies for identical particles to avoid double counting.

$N_i$ = density of interacting particles	[number/ m <sup>3</sup> ]
$v$ = relative velocity	[m/s]
$\phi(v)$ = velocity distribution in plasma	
$\sigma(v)$ = reaction cross section	[m <sup>2</sup> ]

Consider reaction:  $1+2 \rightarrow 3$

Nuclei of type **1** are destroyed via the capture reaction with type **2** nuclei;  
type **3** nuclei are produced.

average live time of type **1** nuclei in stellar environment is given by differential equation:

$$\left( \frac{dN_1}{dt} \right)_2 = -\lambda N_1 = -\frac{1}{\tau} N_1$$

Mean lifetime  
Decay constant

or

$$\left( \frac{dN_1}{dt} \right)_2 = -(1 + \delta_{12}) r = -N_1 N_2 \langle \sigma v \rangle$$

Kronecker symbol disappears,  
often the following equations are given:

$$= -N_1 \rho N_A \frac{X_2}{A_2} \langle \sigma v \rangle = -N_1 \rho N_A Y_2 \langle \sigma v \rangle$$

$$r = \frac{1}{1 + \delta_{12}} N_1 N_2 \langle \sigma v \rangle$$

number density  $N_i$

Matter density  $\rho$

Avogadro's number  $N_A$

Mass fraction  $X_i$

Atomic mass  $A_i$

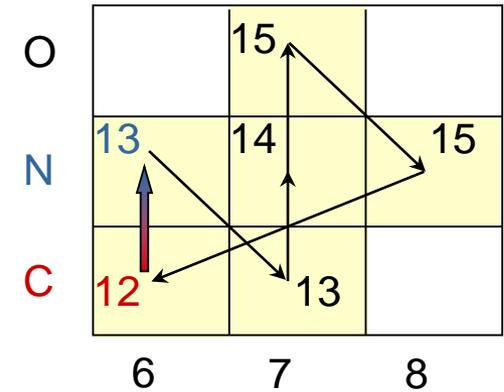
Mol fraction  $Y_i$

$$N_i = \rho N_A \frac{X_i}{A_i} = \rho N_A Y_i$$

$$\frac{dN_1}{dt} = -N_1\lambda = -\frac{1}{\tau}N_1 = -(1 + \delta_{12})r$$

$$= -N_1 Y_2 \rho N_A \langle \sigma v \rangle$$

example



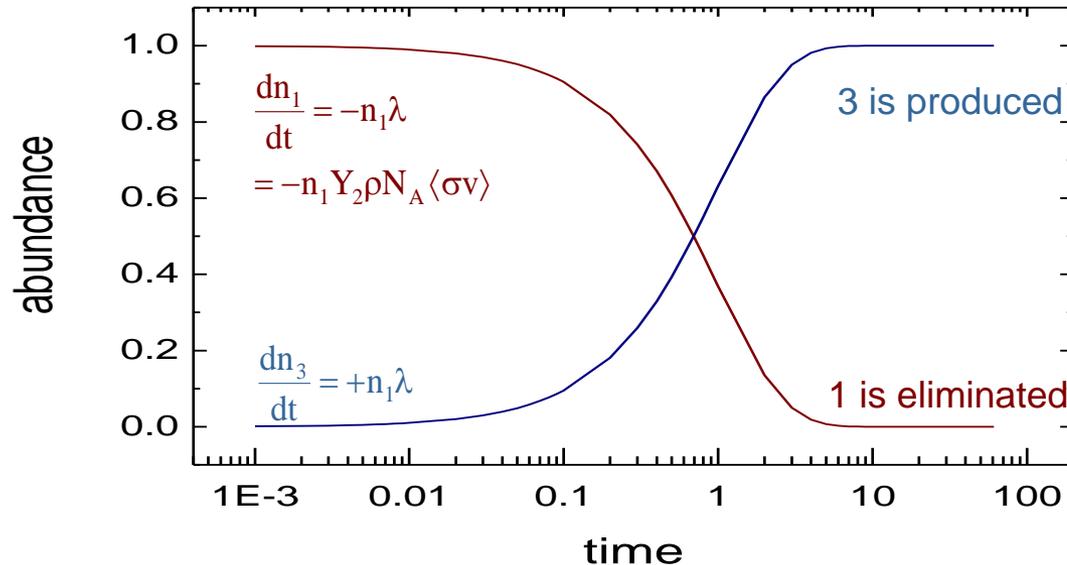
Definition:

Mean lifetime of nuclei 1 against destruction by 2:

$$\tau = \frac{1}{\lambda} = \frac{1}{Y_2 \rho N_A \langle \sigma v \rangle}$$

Lifetime of nuclei 1 depends on:

- Number of destructive nuclei 2
- Velocity distribution
- Cross section



# Reaction rate, energy production

➤ reaction rate  $r$

(number of reactions per time and volumen)

$$r = \frac{1}{1 + \delta_{pT}} N_p N_T \langle \sigma v \rangle$$

$$\langle \sigma v \rangle = \int \sigma(v) \cdot v \cdot \phi(v) dv$$

Key quantities

$N_i$  = density of interacting nuclei (number/volume)

$v$  = relative velocity

$\phi(v)$  = velocity distribution in plasma

$\sigma(v)$  = reaction cross section

➤ energy production rate  $\varepsilon$

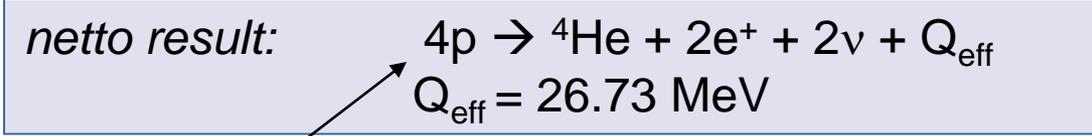
$$\varepsilon = r Q/\rho$$

*Literatur: Cauldrons in the Cosmos, chapter 3  
C. E. Rolfs, W. S. Rodney  
The University of Chicago Press*

➤ energy production rate  $\varepsilon$   
(energy per time and mass)

# example

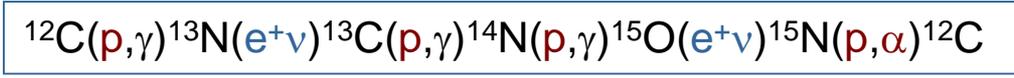
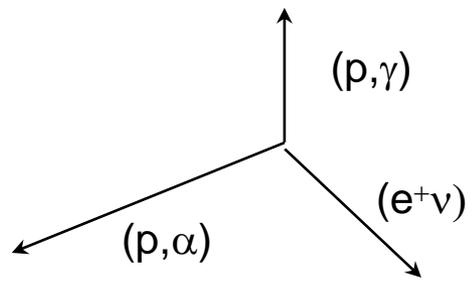
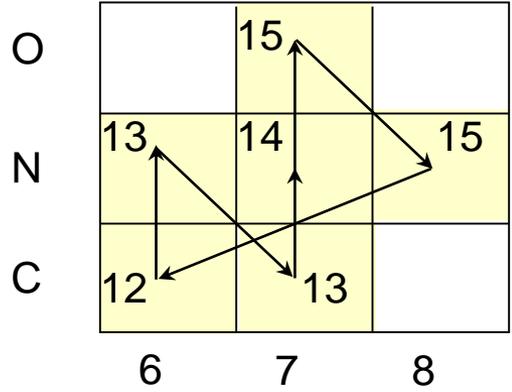
Hydrogen burning



nucleo synthesis

Energy production

CNO Zyklus



cycle is limited by  $\beta$  decay of  ${}^{13}\text{N}$  ( $t \sim 10 \text{ min}$ ) and  ${}^{15}\text{O}$  ( $t \sim 2 \text{ min}$ )

C, N, O isotopes act as catalysts

changes in stellare conditions  $\Rightarrow$  modification of energy production and nucleo synthesis

**REACTION RATES** are needed in function of velocity~temperature of stellar environment to determine **ENERGY PRODUCTION**.

# Stellare reaction rate

$$\langle \sigma v \rangle = \int \sigma(v) \phi(v) v dv$$

Depends on: a) velocity distribution  
b) cross section

## velocity distribution

Interacting nuclei in plasma are in **thermal equilibrium** at temperature  $T$ ,

Assume a **non-degenerated** and **non-relativistic** plasma

⇒ **Maxwell-Boltzmann velocity distribution**

$$\phi(v) = 4\pi \left( \frac{\mu}{2\pi kT} \right)^{3/2} v^2 \exp\left(-\frac{\mu v^2}{2kT}\right)$$

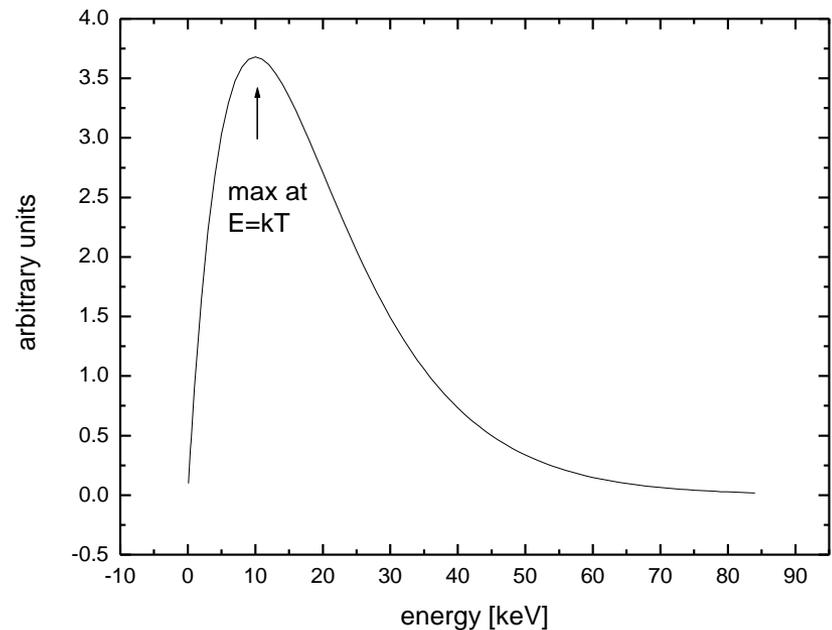
with  $\mu = \frac{m_p m_T}{m_p + m_T}$  reduced mass

$v$  = relativ velocity,  $E = 1/2 \mu v^2$

$$\langle \sigma v \rangle = \left( \frac{8}{\pi \mu} \right)^{1/2} \frac{1}{(kT)^{3/2}} \int_0^{\infty} \sigma(E) \cdot E \cdot \exp\left(-\frac{E}{kT}\right) dE$$

$$kT \sim 8.6 \times 10^{-8} T[\text{K}] \text{ keV}$$

example: sun  $T \sim 15 \times 10^6 \text{ K}$  oder  $T_6 = 15 \Rightarrow kT \sim 1.3 \text{ keV}$



# Cross section

There is no theory which allows to determine the reaction cross section precisely enough!

Depends critically on the following reasons:

- [properties and structure of nuclei](#)
- [reaction mechanism](#)

Cross sections may vary by orders of magnitude depending in interaction!

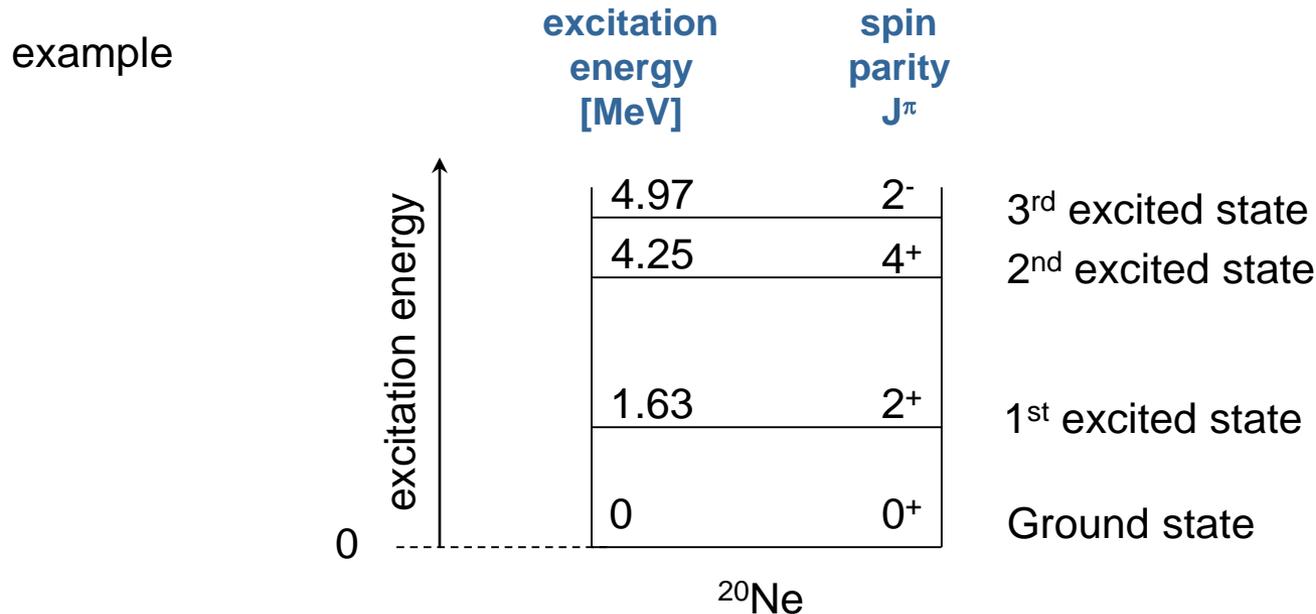
Examples:

Reaction	interaction/force	$\sigma$ (barn)	$E_{\text{proj}}$ (MeV)
$^{15}\text{N}(p,\alpha)^{12}\text{C}$	Strong interact.	0.5	2.0
$^3\text{He}(\alpha,\gamma)^7\text{Be}$	Strong+E.M.	$10^{-6}$	2.0
$p(p,e^+\nu)d$	weak WW	$10^{-20}$	2.0

$$1 \text{ barn} = 10^{-24} \text{ cm}^2 = 100 \text{ fm}^2$$

Knowledge from **experiments** and **theory is needed** to determine the stellare reaction rate.

reminder: nucleons inside atomic nucleus occupy quantized levels (→ shell model) with fixed excitation energy



Nucleus in excited state decays typically via  $\gamma$ ,  $p$ ,  $n$  or  $\alpha$  emission with characteristic **Lifetime**  $\tau$  with a corresponding **width**  $\Gamma$  in excitation energy.

$$\Gamma = \frac{\hbar}{\tau}$$

*Heisenbergs' uncertainty relation*

Lifetime of individual decay branches or channels is given by the **partial width**

$$\Gamma_\gamma, \Gamma_p, \Gamma_n \text{ and } \Gamma_\alpha$$

with

$$\Gamma = \sum \Gamma_i$$

Reaction mechanism:

I. direct reaction

II. resonant reaction

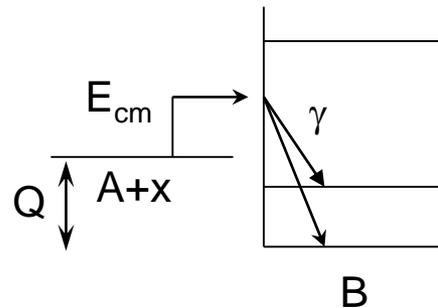
# I. direct process

## One-step process

Direct transition into bound state

example:

radiative capture  $A(x,\gamma)B$



$$\sigma_{\gamma} \propto \left| \langle B | H_{\gamma} | A + x \rangle \right|^2$$

$H_{\gamma}$  = electro-magnetic operator describes transition

- reaction cross section is proportional to one single matrix element
- happens at al projectile energies
- uniformly, continuous energy dependence of cross section

other direct processes: stripping, pickup, charge exchange, Coulomb excitation

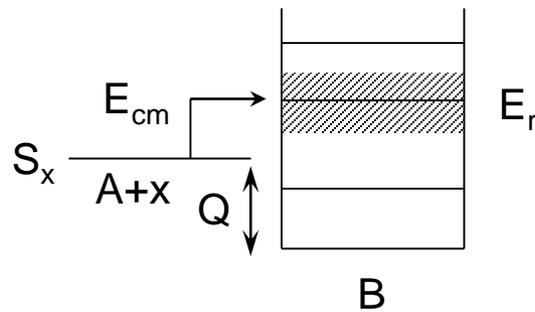
# II. resonant process

## Two-step process

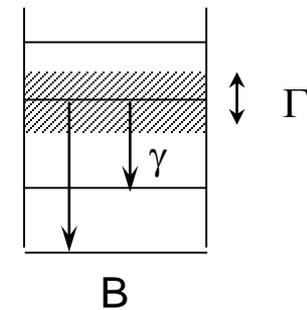
example:

### resonant radiativ capture $A(x,\gamma)B$

1. Compound-nucleus formation  
(in one unbound state)



2. Compound nucleus decay  
(in lower lying states)



$$\sigma_{\gamma} \propto \underbrace{\left| \langle E_f | H_{\gamma} | E_r \rangle \right|^2}_{\text{Compound nucleus decay}} \underbrace{\left| \langle E_r | H_B | A + x \rangle \right|^2}_{\text{Compound nucleus formation}}$$

Compound nucleus decay  
probability  $\propto \Gamma_{\gamma}$

Compound nucleus formation  
probability  $\propto \Gamma_x$

- Reaction cross section is proportional to two matrix elements
- strong enhancement close to energy  $E_{cm} \sim E_r - Q$
- strong energy dependence of cross section

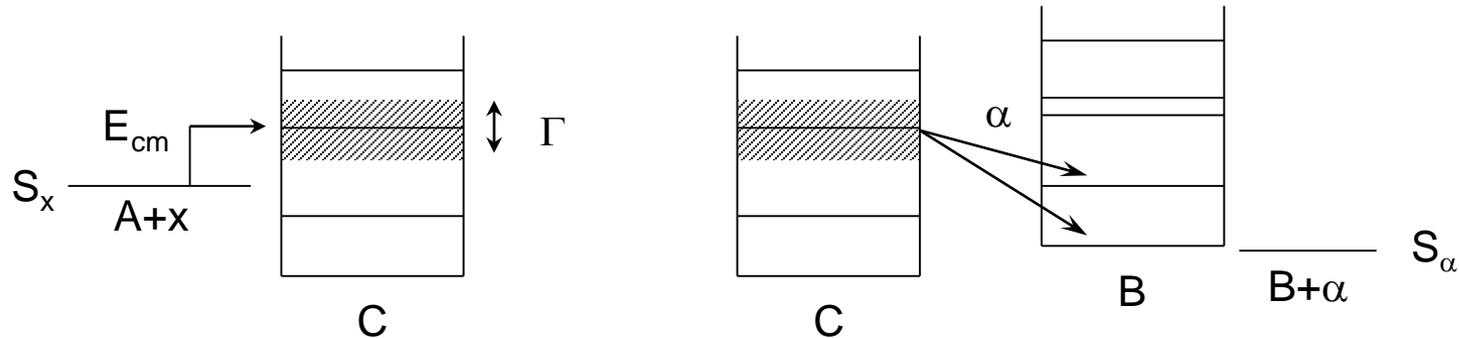
Energy in entrance channel ( $Q + E_{cm}$ ) must be close in excitation energy  $E_r$  of resonant state.  
However, every excited state has a width  $\Rightarrow$  cross section from tails of distribution

example:

## resonant reaction $A(x,\alpha)B$

1. Compound-nucleus formation  
(in one unbound state)

2. Compound nucleus decay  
(in lower lying states via particle emission)



$$\sigma_\alpha \propto \underbrace{\left| \langle B + \alpha | H_\alpha | E_r \rangle \right|^2}_{\text{Compound nucleus decay}} \underbrace{\left| \langle E_r | H_x | A + x \rangle \right|^2}_{\text{Compound nucleus formation}}$$

Compound nucleus decay  
probability  $\propto \Gamma_\gamma$

Compound nucleus formation  
probability  $\propto \Gamma_x$

Energy in entrance channel ( $Q+E_{cm}$ ) must be close in excitation energy  $E_r$  of resonant state.  
However, every excited state has a width  $\Rightarrow$  cross section from tails of distribution

## Cross section for direct reactionc

- Charged particles
- Neutrons

# Cross section for direct reaction

example: direct capture



$$\sigma = \pi \lambda_x^2 \left| \langle B | H | x + A \rangle \right|^2 P_\ell(E)$$

“geometrical factor”  
de Broglie wave length  
of projectile

$$\lambda = \frac{h}{p} = \frac{h}{\sqrt{2mE}}$$

matrix element  
contains nuclear  
properties of  
interaction

- Penetrability/ Transmission  
probability of projectile to interact  
with target nucleus  
- Depends on angular momentum  
of projectile  $\ell$  and energy  $E$

$$\sigma = \frac{1}{E} \cdot P_\ell(E) \cdot S(E)$$

$\sigma =$  (strong energy dependence) x (weak energy dependence)

**S(E) = astrophysical factor**

Contains nuclear physics of reaction, matrix element, wave functions, operator

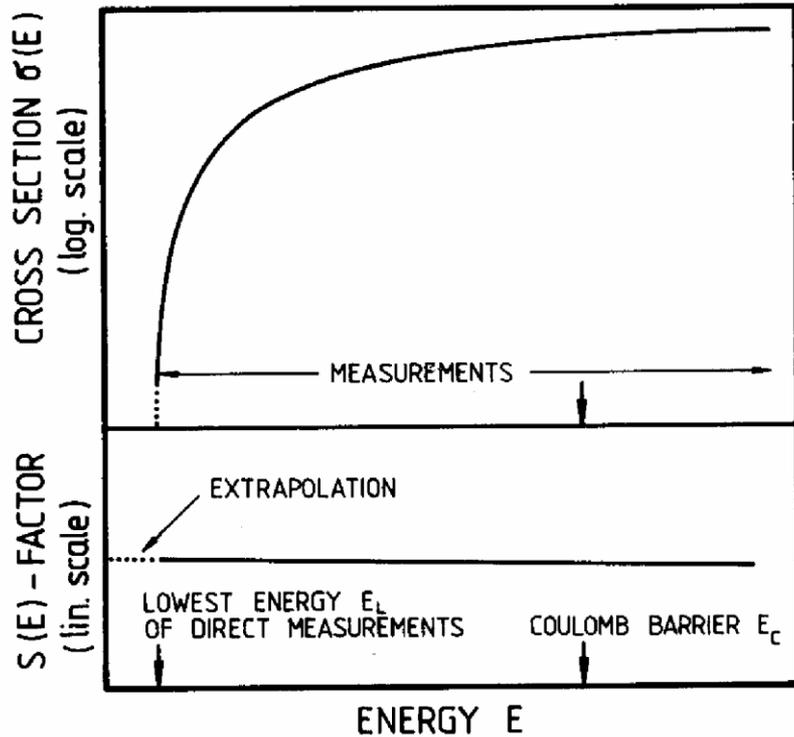
**Needed: penetrability  $P_\ell(E)$**

Transmission Probability depends on:

- Coulomb barrier (only charged particles)
- centrifugal barrier (for neutrons and charged particles)

# Astrophysical S-factor

“astro physical S factor” contains detailed information on nuclear structure

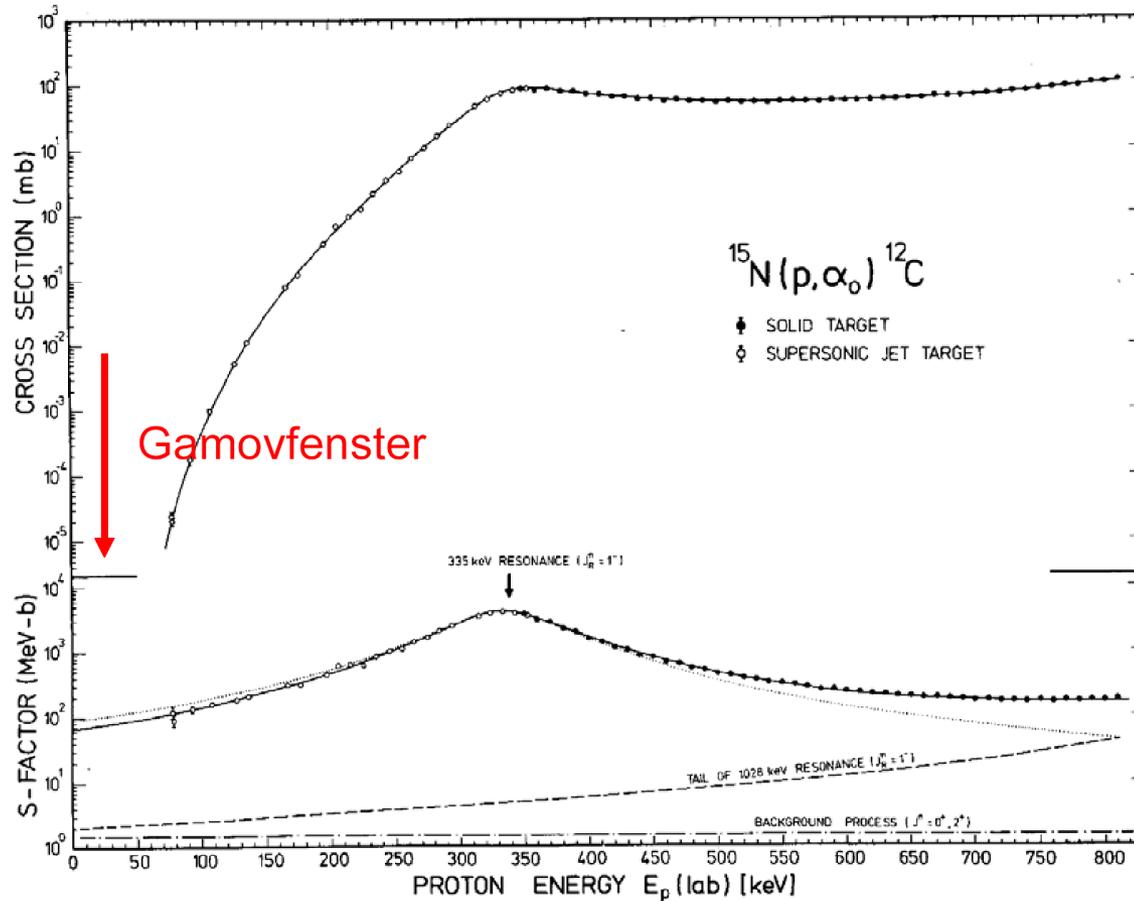


$$\sigma(E) = \frac{S(E)}{E} e^{-b/\sqrt{E}}$$

Relevant energy region for astro physics  
Is very low, typically at the limit and below  
measurable energy for nuclear reaction.

In some cases  $S(E)$  can be extrapolated.

# Astrophysical S-factor



Rolfs & Rodney p. 158

Typically data for astrophysical processes are given by  $S(E)$ .  
In some cases  $S(E)$  varies strongly with energy.

# Tunneling Gamow factor

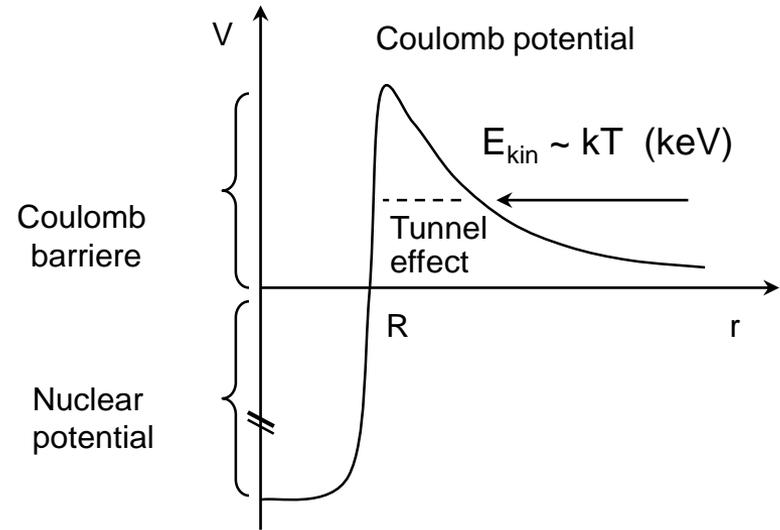
Projectil and target  
with charges  $Z_1$  and  $Z_2$

$$V_C = \frac{Z_1 Z_2 e^2}{R}$$

in units (MeV, amu, fm)

$$V_C[\text{MeV}] = 1.44 \frac{Z_1 Z_2}{R[\text{fm}]} \approx 1.2 \frac{Z_1 Z_2}{(A_1^{1/3} + A_2^{1/3})}$$

example:  $^{12}\text{C}(p, \gamma)$      $V_C = 3 \text{ MeV}$



average kinetic energy in stellar plasma:  $kT \sim 1\text{-}100 \text{ keV}$ !

⇒ Fusion reaction of two charged particles well below Coulomb barrier

⇒ Transmission probability is determined by tunnel effect

for  $E \ll V_C$  and no angular momentum transfer tunnelling is given by:

$$P(E) \propto \exp(-2\pi\eta)$$

$$\eta = \frac{Z_1 Z_2 e^2}{\hbar v}$$

$$2\pi\eta = 31.29 Z_1 Z_2 \left( \frac{\mu}{E} \right)^{1/2}$$

**Gamow factor**

$\eta$  Sommerfeld parameter

with E- keV and  $\mu$ - amu